

**Original Research**

**Laparoscopic Hepatectomy is a Feasible and Safe Choice for  
Primary Hepatocellular Carcinoma: A Retrospective Case-  
Control Study**

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24 **ABSTRACT**

25

26 **Introduction:** Laparoscopic hepatectomy (LH) for hepatocellular carcinoma  
27 (HCC) is well known for its advantages, but its specific long-term outcomes are  
28 unknown. This study aimed to analyze the perioperative, short-term, and long-term  
29 outcomes between LH and open hepatectomy (OH) for patients with primary HCC  
30 who underwent LH during the developing period of LH in a single center.

31 **Methods:** This retrospective study included patients diagnosed with primary HCC  
32 who underwent hepatectomy between January 2013 and December 2019. The patients  
33 were divided into the LH (n = 63) and OH (n = 96) groups. Demographic and  
34 perioperative data were collected.

35 **Results:** A higher percentage of patients in the OH group underwent major resection  
36 (38.5% vs. 11.1%,  $p < 0.001$ ). The operative time was 47 minutes shorter, and the  
37 intraoperative blood loss was 105 mL less in the LH group. The major postoperative  
38 complication rate (33.3% vs. 50.0%,  $p = 0.05$ ) and the 90-day readmission rate (3.2%  
39 vs. 12.5%,  $p = 0.048$ ) were lower in the LH group. The overall survival and disease-  
40 free survival were similar between the two groups.

41 **Conclusions:** LH is a feasible and safe alternative for primary HCC, with less  
42 blood loss, fewer major complications, and shorter postoperative hospital stay. LH  
43 does not worsen short- or long-term outcomes.

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45 **Keywords:** Hepatocellular carcinoma, Laparoscopy, Short-term outcome, Long-  
46 term outcome, Feasible

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48

## 49 **Introduction**

50 Hepatocellular carcinoma (HCC) was ranked the sixth most common neoplasm and  
51 the third leading cause of cancer death worldwide in 2020, with 905,677 diagnosed  
52 cases and 830,180 deaths [1]. Hepatectomy is one of the treatment options for HCC  
53 based on the Barcelona Clinic Liver Cancer strategy [2]. In the past, open  
54 hepatectomy (OH) was the only option for surgical intervention, but after the first  
55 laparoscopic hepatectomy (LH) was described in 1991 [3], LH has been applied  
56 gradually as a surgical alternative. Despite several favorable results for LH [4,5], this  
57 procedure has still not achieved widespread use [6]. Laparoscopic surgery, though  
58 complex, can be performed safely and efficaciously. However, difficulties in liver  
59 mobilization, hemorrhage control, loss of manual palpation, deeper surgical field, and  
60 intraoperative hazards are barriers to the generalized use of LH [7,8].  
61 Although LH requires expert surgical ability, it has many advantages, including a  
62 smaller incision size, shorter operation time, lower transfusion rate, shorter hospital  
63 stay [9], and similar overall survival and disease-free survival compared to OH [4].  
64 Therefore, LH is increasingly recommended for the treatment of HCC.  
65 This study aimed to evaluate the perioperative and postoperative short- and long-term  
66 outcomes in patients diagnosed with primary HCC and who underwent LH during the  
67 developing period of LH in a single center.

## 68 **Materials and Methods**

### 69 **Patient characteristics**

70 This is a retrospective case-control study. The data were retrospectively collected  
71 from the medical records of 159 patients newly diagnosed with HCC and who  
72 received hepatectomy in Hualien Tzu Chi General Hospital, a tertiary referral center  
73 in eastern Taiwan, from January 2013 to December 2019. The preoperative diagnosis  
74 of HCC was based on the results of two sets of noninvasive dynamic imaging in high-  
75 risk groups with chronic hepatitis B, chronic hepatitis C, or cirrhosis with or without  
76 elevated alpha-fetoprotein (AFP). Postoperative HCC was confirmed by pathological  
77 examination of resected specimens in all patients. Patients with a diagnosis of  
78 recurrent HCC or synchronous malignancy were excluded. The patients were divided  
79 into the LH and OH groups. The criteria for inclusion of patients into the open or  
80 laparoscopic group depended on the surgeon's preference, including the age of  
81 patients, liver function, tumor size and location, distance to major vessels, and degree  
82 of portal hypertension. However, the preference would be adjusted when the skill of  
83 laparoscopic intervention became mature. Volumetric evaluation for the tumor was  
84 not routinely performed because it was not covered by the National Health Insurance  
85 of Taiwan, and most patients could not afford examination. We followed the  
86 Makuuchi criteria to decide the resection volume [10]. The medical records were  
87 retrospectively reviewed for demographic characteristics, perioperative variables, and  
88 follow-up outcomes. The overall median follow-up duration was 29 months.

### 89 **Definitions**

90 Definitions were adopted from the Brisbane 2000 Guidelines for liver anatomy [11].  
91 Resection of  $\geq 3$  segments was defined as major resection, and that involving  $< 3$   
92 segments was defined as minor resection. The Clavien–Dindo classification was  
93 applied to grade postoperative complications [12]. Major complication was defined as  
94  $\geq$  class 3 complications. The definition of bile leakage and posthepatectomy liver  
95 failure was adopted from the International Study Group of Liver Surgery [13, 14].  
96 The unfavorable location of the tumor was defined as superior-posterior segments  
97 (S4a, S7, and S8).

### 98 **Surgical techniques**

#### 99 **Open hepatectomy**

- 100 1. Skin incision was made with either reverse L or reverse T incision.
- 101 2. Liver mobilization and intraoperative sonography to localize the tumor  
102 location were performed.
- 103 3. The Pringle's maneuver with hepatoduodenal ligament wrapping was  
104 prepared.

- 105 4. Active and cycling Pringle maneuver was routinely performed to  
106 reduce blood loss and possible hepatocyte protection before bleeding  
107 during the parenchymal transection.  
108 5. Parenchymal transection was performed by electrocautery, ultrasonic  
109 device, or vessel sealing device under performing the Pringle  
110 maneuver.  
111 6. After completing the liver resection, meticulous hemostasis for the  
112 resection plane and the placement of a closed drainage tube was  
113 performed.  
114 7. Close the fascia and repair the skin.

### 115 **Laparoscopic hepatectomy**

- 116 1. Skin incision with either reverse L or reverse T incision was made.  
117 2. Liver mobilization and intraoperative sonography to localize the tumor  
118 location were performed.  
119 3. The Pringle's maneuver with hepatoduodenal ligament wrapping was  
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126 maneuver.  
127 6. After completing the liver resection, meticulous hemostasis for the  
128 resection plane and the placement of a closed drainage tube was  
129 performed.  
130 7. Close the fascia and repair the skin.

### 131 **Statistical analysis**

132 The chi-square test was used to analyze categorical variables, which are presented as  
133 numbers and percentages. The Kolmogorov–Smirnov test was used to check the  
134 normality of continuous variables. Normally distributed continuous variables are  
135 presented as means with standard deviations. Nonnormally distributed continuous  
136 variables are presented as medians with interquartile ranges and were analyzed with  
137 the Mann–Whitney's *U* test. The Kaplan–Meier curve with the log-rank test was used  
138 for the survival analysis. SPSS for MAC ver. 26 (SPSS Inc., Chicago, IL, USA) was  
139 used for the statistical analysis. A p-value of <0.05 was considered statistically  
140 significant.

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## 143 **Results**

### 144 **Baseline characteristics**

145 The baseline characteristics of the patients are presented in Table 1. The study  
146 included 96 patients (60.3%) in the OH group and 63 (39.6%) in the LH group. The  
147 mean patient age was slightly higher in the LH group (67 years) than that in the OH  
148 group (64 years) ( $p = 0.156$ ). Most of the patients were male, and the comorbidity  
149 rates, including diabetes mellitus, hypertension, and coronary artery disease, were not  
150 significantly different between the two groups. Especially, more patients in the LH  
151 group were Child–Pugh class A (98.4% vs. 88.9% in the OH group,  $p = 0.026$ ). The  
152 Model for End-stage Liver Disease–Na score was similar in both groups (LH vs. OH:  
153 8 vs. 7,  $p = 0.229$ ). Most patients in both groups were diagnosed with viral hepatitis  
154 (LH: 84.1%, OH: 89.6%). The preoperative 15 min retention rate for indocyanine  
155 green was 13.3% in the LH group and 9.1% in the OH group ( $p = 0.748$ ).

### 157 **Perioperative outcomes and pathological findings**

158 More patients in the OH group presented with unfavorable tumor location (56.3% vs.  
159 30.2%,  $p = 0.002$ ). More patients in the OH group received major hepatectomy  
160 (38.5% vs. 11.1%,  $p < 0.001$ ). The median operation time was significantly shorter in  
161 the LH group than in the OH group (208 minutes vs. 255 minutes,  $p = 0.025$ ). The  
162 intraoperative blood loss was significantly less in the LH group than in the OH group  
163 (250.0 mL vs. 355.0 mL,  $p = 0.005$ ). The duration of the Pringle maneuver was  
164 significantly shorter in the LH group (59.0 minutes vs. 72.9 minutes,  $p = 0.021$ ).  
165 In particular, more patients in the LH group underwent resection for solitary tumor  
166 (90.5% vs. 76.0%,  $p = 0.022$ ). The tumor size was significantly smaller in the LH  
167 group than in the OH group (3.0 cm vs. 4.0 cm,  $p = 0.001$ ). The margin distance and  
168 the differentiation grade showed no significant differences between the two groups.

### 170 **Postoperative outcomes**

171 The major complication rate was lower in the LH group than that in the OH group  
172 (4.8% vs. 9.3%,  $p = 0.050$ ). In the OH group, three patients experienced grade IIIa  
173 complications (one had grade B bile leakage and two had pleural effusion); two  
174 patients experienced grade IIIb complications (one had grade C bile leakage and the  
175 other had deep surgical site infection); two patients experienced grade IVa  
176 complication (one had posthepatectomy liver failure and the other had cardiogenic  
177 shock); one patient experienced grade IVb complication as acute kidney injury and  
178 posthepatectomy liver failure; one patient experienced grade V complication as  
179 posthepatectomy liver failure and passed away on postoperative day 39. In the LH

180 group, one patient each experienced grade IIIa complication as superficial surgical  
181 site infection; grade IVa complication as acute kidney injury; grade IVb complication  
182 as septic shock and acute kidney injury. No grade V complications developed in the  
183 LH group.

184 The postoperative hospital stay was significantly shorter in the LH group (7 days vs.  
185 11 days,  $p < 0.001$ ). The 90-day readmission rate was significantly less in the LH  
186 group (3.2% vs. 12.5%,  $p = 0.048$ ) (Table 3). However, the 90-day mortality showed  
187 no significant difference between the two groups (1.6% for LH vs. 5.2% for OH,  $p =$   
188 0.241). No significant difference was noted in the 24-month overall survival (85.0%  
189 vs. 77.6%,  $p = 0.317$ ) and disease-free survival (89.3% vs. 85.2%,  $p = 0.293$ ) rates  
190 between the LH and OH groups (Figures 1A, B). The ratio of LH increased gradually  
191 and exceeded 50% after 2017 (Table 4).

## 192 **Discussion**

193 Before the LH procedure was first described in 1991, OH was the only surgical  
194 treatment for HCC [3]. The first feasibility study for LH concluded that LH was  
195 feasible and safe in patients with left- and right-sided peripheral lesions who required  
196 limited resection [5]. The first consensus regarding the indications for LH was the  
197 Louisville statement (in 2008), which comprised (1) solitary lesion, (2) tumor size  $\leq 5$   
198 cm, (3) tumor location in peripheral liver segments 2–6; LH was indicated for only  
199 left lateral sectionectomy, anterior segmentectomies, or wedge resection [15].

200 Thereafter, the indications for LH increased until the Morioka consensus in 2014,  
201 which stated that there were no definite indications for LH [16]. According to the  
202 previously stated indications for LH from the Louisville statement, we started LH for  
203 minor resections with smaller tumors before performing major resections during the  
204 developed period in our institution. The ratio of LH to total cases gradually increased  
205 and exceeded 50% after 2017. The short- and long-term outcomes did not worsen  
206 during the developing period of LH in our institute, and we attributed this outcome to  
207 the restricted indications for LH. After 2019, we have continuously extended the  
208 criteria for LH for more difficult HCC, and we expect similar outcomes as noted in  
209 this study.

210 The benefits of LH possibly come from the following concepts: (1) the caudal  
211 approach may lead to better exposure of the right adrenal gland, inferior vena cava,  
212 and Glissonean pedicle at the hilar plate and is different from the OH approach  
213 [15,16]; (2) pneumoperitoneum with an intra-abdominal pressure of 10–14 mmHg  
214 and low central venous pressure anesthesia may decrease blood loss from the  
215 backflow of the hepatic vein; (3) the patient position during LH may shift to the left  
216 lateral decubitus position for right posterior sectionectomy and might make the  
217 transection plane above the level of the inferior vena cava, which can decrease  
218 backflow from the hepatic vein [16]. Due to the above concepts, LH seems to have  
219 benefits, comprising reduced intraoperative blood loss, lower incidence of  
220 complications, and shorter hospital stay [15,18]. In our study, the above outcomes  
221 were noted, with less blood loss, lower major complications rate, and shorter  
222 postoperative hospital stay. Moreover, due to the better exposure and less backflow  
223 from the hepatic vein, which allowed an undisturbed resection field, a shorter duration  
224 of the Pringle maneuver and shorter operative time with LH were noted in this study.  
225 Some studies consider high-volume centers to be institutions where more than 20–50  
226 liver resections are performed yearly [19-21]. However, a study indicated that high-  
227 volume centers do not appear to influence in-hospital mortality [21]. Although our  
228 study enrolled only 159 patients in 7 years and can be regarded as a borderline high-



229 volume center, compared to OH, LH will not compromise postoperative  
230 complications, in-hospital mortality, and 90-day mortality, with lower 90-day  
231 morbidity. In this study, as long-term outcomes in the LH group, the 1- and 2-year  
232 overall survival rates were 88.7% and 85.0%, and the 1- and 2-year disease-free  
233 survival rates were 94.9% and 89.3%, respectively. Compared with previous studies  
234 of LH for HCC, the 1- and 3-year overall survival rates were 88%–100% and 73.4%–  
235 94.5%, respectively. The 1-year disease-free survival rate was 71.9%–99%, and the 3-  
236 year disease-free survival rate was 40.0%–91.2% [22, 23]. As opposed to other high-  
237 volume centers, the long-term outcomes of LH for HCC were not compared even  
238 during the developed period in our study.

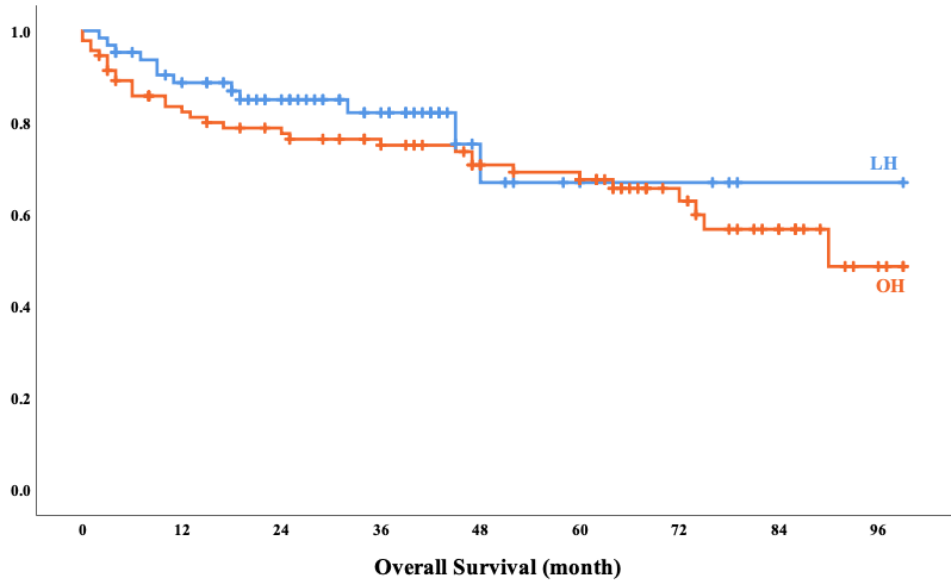
239 This study still has some limitations. First, it was a retrospective and nonrandomized  
240 study, which may have led to observation bias. Second, only oncological data were  
241 collected, and no short- or long-term data on the quality of life, such as the wound  
242 pain scale and incisional abdominal wall hernia, were available. Third, the study had a  
243 relatively small sample size and was conducted in a single center, which may limit the  
244 generalizability of our findings to other centers.

245 **Conclusions**

246 LH will be an alternative to OH for primary HCC, with less blood loss, fewer major  
247 complications, and shorter postoperative hospital stay. LH will not compromise short-  
248 term morbidity/mortality or long-term overall and disease-free survival. LH appears  
249 to be a feasible and safe choice for primary HCC.

250 **Figures**

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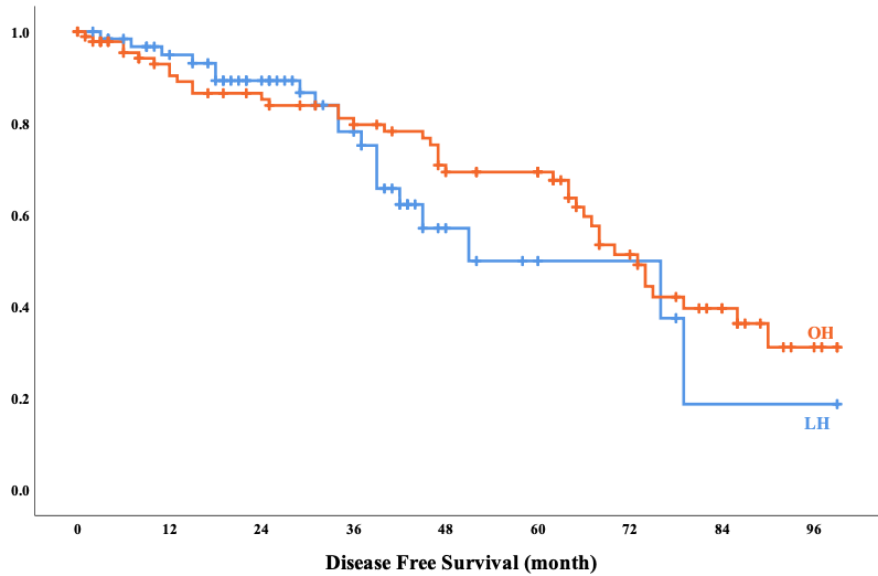
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Figure 1A: Kaplan–Meier curve of the overall survival in the LH and OH groups. The 12- and 24-month overall survival rates were 88.7% and 85.0%, respectively, in the LH group and 82.3% and 87.6%, respectively, in the OH group. Log-rank test,  $p = 0.317$ . LH, laparoscopic hepatectomy; OH, open hepatectomy.



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Figure 1B: Kaplan–Meier curve of disease-free survival in the LH and OH groups. The 12- and 24-month disease-free survival rates were 94.9% and 89.3%, respectively, in the LH group and 92.9% and 85.2%, respectively, in the OH group. Log-rank test,  $p = 0.293$ . LH, laparoscopic hepatectomy; OH, open hepatectomy.

263 **Tables**

264 Table 1. Patient characteristics.

<b>Characteristics</b>	<b>Whole cohort (n = 159)</b>	<b>OH (n = 96)</b>	<b>LH (n = 63)</b>	<b>p- value</b>
<b>Age (years) (mean ± SD)</b>	65 ± 10	64 ± 11	67 ± 9	0.156
<b>Sex, male (%)</b>	75.5 (120/159)	72.9 (70/96)	79.4 (50/63)	0.452
<b>BMI (kg/m<sup>2</sup>) (mean ± SD)</b>	25.2 ± 3.6	25.0 ± 3.7	25.7 ± 3.6	0.687
<b>Comorbidity (%)</b>				
<b>DM</b>	35.8 (57/159)	29.2 (28/96)	46.3 (29/63)	0.042
<b>HTN</b>	45.3 (72/159)	41.7 (40/96)	51.0 (32/63)	0.329
<b>CAD</b>	6.3 (10/159)	6.2 (6/96)	6.3 (4/63)	0.98
<b>FEV1 (%) (median, IQR)</b>	90.6 (78.8,102.2)	87.3 (74.7,102.0)	90.6 (79.9,95.9)	0.043
<b>FEV1/FVC (%) (median, IQR)</b>	79.3 (72.4,83.5)	78.8 (70.3,82.7)	77.9 (73.2,83.0)	0.244
<b>LVEF (%) (median, IQR)</b>	75.3 (69.3,79.8)	73.9 (66.1,77.8)	75.4 (69.8,79.7)	0.047
<b>Child–Pugh classification stage A (%)</b>	92.8 (141/152)	88.9 (80/90)	98.4 (61/62)	0.026
<b>MELD-Na score (median, IQR)</b>	8 (7,9)	7 (7,8)	8 (10,11)	0.229
<b>ICG-15 (%) (median, IQR)</b>	10.6 (5.2,20.1)	9.1 (4.4,16.3)	13.3 (8.1,16.0)	0.748
<b>Viral hepatitis (%)</b>	87.4 (139/159)	89.6 (86/96)	84.1 (53/63)	0.273

265 OH, open hepatectomy; LH, laparoscopic hepatectomy; SD, standard deviation; DM, diabetes mellitus; HTN,  
266 hypertension; CAD, coronary artery disease; FEV1, forced expiratory volume in 1 s; FVC, forced vital capacity;  
267 LVEF, left ventricular ejection fraction; MELD-Na, Model for End-stage Liver Disease-Na; IQR, interquartile  
268 range; ICG, indocyanine green.

269 Table 2. Perioperative results and pathology findings.

Characteristics	Whole cohort (n = 159)	OH (n = 96)	LH (n = 63)	p-value
<b>Tumor location (%)</b>				<0.001
<b>S1</b>	1.3 (2/159)	2.1 (2/96)	0.0 (0/63)	
<b>S2</b>	6.3 (10/159)	4.2 (4/96)	9.5 (6/63)	
<b>S3</b>	13.8 (22/159)	8.3 (8/96)	22.2 (14/63)	
<b>S4a</b>	3.8 (6/159)	6.3 (6/96)	0.0 (0/63)	
<b>S4b</b>	6.3 (10/159)	10.4 (10/96)	0.0 (0/63)	
<b>S5</b>	14.5 (23/159)	14.6 (14/96)	14.3 (9/63)	
<b>S6</b>	11.9 (19/159)	4.2 (4/96)	23.8 (15/63)	
<b>S7</b>	15.7 (25/159)	15.6 (15/96)	15.9 (10/63)	
<b>S8</b>	26.4 (42/159)	34.4 (33/96)	14.3 (9/63)	
<b>Unfavorable location of the tumor (%)</b>	45.9 (73/159)	56.3 (54/96)	30.2 (19/63)	0.002
<b>Major resection (%)</b>	27.7 (44/159)	38.5 (37/96)	11.1 (7/63)	<0.001
<b>Operative time (min) (median, IQR)</b>	248 (181, 340)	255 (195,348)	208 (167,372)	0.025
<b>Pringle maneuver (%)</b>	76.7 (122/159)	81.3 (78/96)	69.8 (44/63)	0.125
<b>Pringle duration (min) (median, IQR)</b>	72.0 (44.8, 101.0)	72.9 (55.0, 100)	59.0 (31, 93.5)	0.021
<b>Blood loss (mL) (median, IQR)</b>	300 (100, 900)	355 (150,730)	250 (125,815)	0.005
<b>Intraoperative transfusion (%)</b>	9.4 (15/159)	10.4 (10/96)	7.9 (5/63)	0.783
<b>Single tumor (%)</b>	81.8 (130/159)	76.0 (73/96)	90.5 (57/63)	0.022
<b>Size (cm) (median, IQR)</b>	3.5 (2.5, 5.5)	4.0 (3.0, 7.5)	3.0 (2.5, 4.2)	0.001
<b>Margin (cm) (median, IQR)</b>	0.7 (0.2, 1.2)	1.0 (0.2, 1.5)	0.5 (0.2, 0.9)	0.049
<b>Ishak score (median, IQR)</b>	4 (1, 6)	3 (0, 6)	5 (3, 5)	0.436

OH, open hepatectomy; LH, laparoscopic hepatectomy; IRQ, interquartile range.

271 Table 3. Postoperative results.

Characteristics	Whole cohort (n = 159)	OH (n = 96)	LH (n = 63)	<i>p</i> -value
<b>Complications (%)</b>	43.4 (69/159)	50.0 (48/96)	33.3 (21/63)	0.050
<b>1+2</b>	35.8 (57/159)	40.7 (39/96)	28.5 (18/63)	
<b>3a</b>	2.5 (4/159)	3.1 (3/96)	1.6 (1/63)	
<b>3b</b>	1.3 (2/159)	2.1 (2/96)	0.0 (0/63)	
<b>4a</b>	1.9 (3/159)	2.1 (2/96)	1.6 (1/63)	
<b>4b</b>	1.3 (2/159)	1.0 (1/96)	1.6 (1/63)	
<b>5</b>	0.6 (1/159)	1.0 (1/96)	0.0 (0/63)	
<b>Postoperative hospital stay (days) (median, IQR)</b>	8 (7, 12)	11 (9, 14)	7 (6, 10)	<0.001
<b>90-day readmission (%)</b>	8.8 (14/159)	12.5 (12/96)	3.2 (2/63)	0.048
<b>90-day mortality (%)</b>	3.8 (6/159)	5.2(5/96)	1.6 (1/63)	0.241

272 OH, open hepatectomy; LH, laparoscopic hepatectomy; IRQ, interquartile range.

273 Table 4. Distribution of hepatectomy by year.

Year	Whole cohort (n)	OH (% , n)	LH (% , n)
2013	25	88.0 (22/25)	12.0 (3/25)
2014	32	78.1 (28/32)	21.9 (4/32)
2015	18	83.3 (15/18)	16.7 (3/18)
2016	15	86.7 (13/15)	13.3 (2/15)
2017	25	40.0 (10/25)	60.0 (15/25)
2018	25	16.0 (4/25)	84.0 (21/25)
2019	19	21.1 (4/19)	78.9 (15/19)

274 OH, open hepatectomy; LH, laparoscopic hepatectomy.

275 **Abbreviations and Symbols**

276 AS, abdominal surgery; CAD, coronary artery disease; DM, diabetes mellitus; FEV1,  
277 forced expiratory volume in 1 s; FVC, forced vital capacity; HCC, hepatocellular  
278 carcinoma; HTN, hypertension; ICG, indocyanine green; LH, laparoscopic  
279 hepatectomy; LVEF, left ventricular ejection fraction; OH: open hepatectomy.

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282 **Disclosure Policy**

283 The authors declare that there are no conflicts of interest regarding the publication of  
284 this article.



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